

*This brochure supplement provides information about Adam Richard Stalnaker that supplements the Rockport Wealth, LLC brochure. You should have received a copy of that brochure. Please contact Adam Richard Stalnaker if you did not receive Rockport Wealth, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Adam Richard Stalnaker is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Rockport Wealth, LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Adam Richard Stalnaker**

Personal CRD Number: 5675604

Investment Adviser Representative

Rockport Wealth, LLC  
22730 Fairview Center Dr Suite# 150  
Fairview Park, OH 44126  
(216) 226-4560  
[adam@rockportwealth.com](mailto:adam@rockportwealth.com)

UPDATED: 01/07/2019

## Item 2: Educational Background and Business Experience

**Name:** Adam Richard Stalnaker **Born:** 1979

### Educational Background and Professional Designations:

#### Education:

Bachelor of Science Accounting and Finance, Ohio State - 2001

#### Business Background:

05/2018 - Present	Managing Member & CCO Rockport Wealth, LLC
12/2013 - Present	Investment Adviser Representative Cetera Advisors
12/2013 - Present	Registered Representative Cetera Advisors
09/2009 - 12/2013	Advisor New England Securities
06/2009 - 09/2009	Advisor AXA
02/2009 - 06/2009	Accountant Nesco
08/2005 - 11/2008	Accountant Siegfried Group

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Adam Richard Stalnaker is a registered representative of Cetera Advisors and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. RW always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of RW in such individual's capacity as a registered representative.

Adam Richard Stalnaker is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. RW always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of RW in connection with such individual's activities outside of RW.

Adam Richard Stalnaker is an investment adviser representative with another investment advisory firm; Cetera Advisors. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. RW always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any RW representative in such individual's outside capacities.

#### **Item 5: Additional Compensation**

Adam Richard Stalnaker does not receive any economic benefit from any person, company, or organization, other than Rockport Wealth, LLC in exchange for providing clients advisory services through Rockport Wealth, LLC.

#### **Item 6: Supervision**

As the Chief Compliance Officer of Rockport Wealth, LLC, Adam Richard Stalnaker supervises all activities of the firm. Adam Richard Stalnaker's contact information is on the cover page of this disclosure document. Adam Richard Stalnaker adheres to applicable regulatory

requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

## **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Adam Richard Stalnaker has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Adam Richard Stalnaker has NOT been the subject of a bankruptcy.